

**American College of Neuropsychopharmacology
Annual Meeting
Code of Conduct**

Adopted by Council, November 8, 2016

1. Introduction

The American College of Neuropsychopharmacology (“ACNP”) is dedicated to providing a safe and productive experience for all participants and attendees at all official ACNP events regardless of sex, race, color, national origin, religion, age, physical or mental disability, perceived disability, ancestry, marital status, sexual orientation, gender identity, or any other basis protected by federal or pertinent state laws. ACNP does not tolerate discrimination or any form of prohibited harassment and is committed to enforcing this Code of Conduct (the “Code”) at its Annual Meeting or at any other ACNP event. As a professional society, the ACNP is committed to providing an atmosphere that encourages the free expression and exchange of scientific and educational ideas. Furthermore, ACNP upholds the philosophy of equal opportunity for and treatment of all meeting participants and staff in any venue.

2. Scope of Code

ACNP requires compliance with the Code by all meeting participants, staff, guests, and vendors at all official ACNP events, including the annual meeting, committee meetings or other activities that are expressly sponsored or promoted by ACNP, whether held in public or private facilities.

This policy is an expression of ACNP’s values and commitment to a safe and productive experience for all participants and attendees at its official events. This policy is not an acknowledgement, admission, or description of ACNP’s legal obligations with respect to any of the subject matters addressed herein, nor does it create any such legal obligations.

3. Harassment Defined

Prohibited harassment includes verbal, physical, and visual conduct that creates an intimidating, offensive, or hostile environment. Harassing conduct can take many forms and includes, but is not limited to, the following: slurs, epithets, derogatory comments, insults, degrading or obscene words, jokes, demeaning statements, offensive gestures, or displaying derogatory or demeaning pictures, drawings, or cartoons based upon an individual’s sex, race, color, national origin, religion, age, physical or mental disability, perceived disability, ancestry, marital status, sexual orientation, gender identity, or any other basis protected by federal or pertinent state laws or local ordinances.

Sexually harassing conduct in particular includes all of these prohibited actions, as well as other unwelcome conduct that is sexual in nature, such as unwanted sexual advances; lewd propositions or innuendos; leering; making sexual gestures; making sexually suggestive or graphic comments or engaging in inappropriate sexually-oriented conversation; displaying sexually suggestive objects, graphics, pictures, or posters, whether physically or over the Internet; making or using

derogatory comments, epithets, slurs or jokes; the sexual touching or display of one's own body; or unwanted physical touching or assault, as well as impeding or blocking movements.

Sexually harassing conduct can be by a person of either the same or opposite sex. It is a violation of this policy for males to sexually harass females or other males, and for females to sexually harass males or other females. Conduct that begins as consensual in nature may become harassment if one party withdraws his or her consent. Sexual or other harassment prohibited by this policy is unacceptable and will not be tolerated.

The above list of prohibited behaviors is not a complete rendering of what may be deemed sexual or other harassment prohibited by this policy. It is impossible to define every action or word that could be interpreted as harassment. However, ACNP has a "zero tolerance" policy toward discrimination and all forms of harassment. ACNP reserves the right to discipline meeting participants who engage in any inappropriate conduct, even if it is not specifically referred to or defined in this Code, or is not legally actionable as sexual or any other form of harassment.

4. Prohibited Conduct

Prohibited conduct at ACNP meetings includes, but is not limited to:

1. harassment based on sex, race, color, personal appearance, national origin, religion, age, physical disability, mental disability, perceived disability, ancestry, marital status, sexual orientation, gender identity, or any other basis protected by federal or pertinent state laws;
2. demeaning comments or harassment about a person's professional status, qualifications, or affiliations;
3. sexual harassment, as defined in Section 3;
4. abusive conduct that has the purpose or effect of unreasonably interfering with another person's ability to benefit from and enjoy or participate in the meeting, including social events related to the meeting and sponsored by ACNP;
5. undue or excessive interruption of any event, speaker, or session; and
6. violence or threats of violence.

5. Reporting an Incident

Meeting participants or other individuals, who witness or experience inappropriate conduct at an ACNP meeting or other official ACNP event, including but not limited to the prohibited conduct described above, should report such conduct immediately to the Executive Director of the ACNP, Sarah Timm at stimm@acnp.org or (615) 324-2374. If the individual is uncomfortable reporting the conduct to the Executive Director of the ACNP for any reason, the individual may report the conduct to the ACNP Sr. Project Manager, Erin Shaw at eshaw@acnp.org or (615) 324-2360. Any individual reporting such conduct is not required or expected to discuss the concern with the alleged offender.

Anyone experiencing or witnessing behavior at an ACNP event that is an immediate or serious threat to the safety of those present, or to the public, is advised to locate a house phone and ask for security, or to otherwise contact the authorities for protection.

ACNP cannot address claimed inappropriate conduct or harassment unless the claims are brought to the attention of ACNP leadership. Meeting participants are encouraged to report any incidents of perceived violations of this policy as quickly as they can or otherwise feel safe doing.

ACNP is committed to taking reasonable steps to prevent harassment and other prohibited conduct at its meetings, and will make reasonable efforts to promptly and completely address and correct any prohibited conduct that may occur at an official ACNP event. ACNP will keep any investigation of an alleged violation of this policy as confidential as possible.

ACNP can only investigate situations that arise at ACNP meetings or other ACNP-sponsored events. If a meeting participant experiences inappropriate conduct or harassment at the participant's own or another institution, at a place of work, at a research facility, or online but not via ACNP-sponsored channels that individual should contact the appropriate person or department responsible for such things at that particular institution, facility or medium.

6. Investigation

ACNP will promptly and impartially investigate the facts and circumstances of any claim of inappropriate conduct or harassment under this policy. ACNP will make every effort to keep the reporting individual's concerns confidential and will not deliberately share personal information, other than as necessary to carry out the purpose of investigation. While complete confidentiality cannot be guaranteed, ACNP will keep the investigation and its findings as confidential as possible under the circumstances.

During an investigation, ACNP or a designated independent consultant subject to obligations of confidentiality, generally will do the following (as necessary) to make a determination as to appropriate action:

- document the nature of the complaint;
- interview the complainant;
- conduct further interviews as necessary, such as with witnesses and, at an appropriate time, the alleged offender;
- document the ACNP's findings regarding the complaint;
- document recommended follow-up actions and remedies, if warranted; and
- inform the complainant of the basic nature of the ACNP's findings.

The ACNP will attempt to investigate any complaint or report of a violation of this policy in a prompt and timely manner. Upon completion of the investigation, ACNP will take appropriate corrective measures against any person who has engaged in conduct prohibited by this policy, if ACNP determines such measures are necessary. Such remedial action may include, but is not limited to, the items listed below in Section 7.

7. Disciplinary Action

If ACNP determines that an individual has engaged in prohibited conduct, ACNP shall determine the appropriate action to be taken, which may include, but is not limited to:

- private reprimand;
- removal from the Meeting without warning or refund;
- implementation of conditions upon attendance at future ACNP Meetings;
- restriction from attendance at future ACNP Meetings; or
- expulsion from the ACNP.

ACNP may, but is not required to, report any incident to proper authorities, including but not limited to law enforcement. ACNP will do so if, in its sole discretion, such reporting is advisable or necessary. If ACNP determines that an individual has engaged in prohibited conduct at an ACNP meeting, and such individual is an ACNP member, ACNP may take disciplinary measures consistent with Article XI of the ACNP Bylaws. Nothing in this policy shall restrict or discourage any individual who experiences or is the target of conduct prohibited by this policy from reporting such conduct to the authorities, to the extent he or she deems such a report advisable or necessary.

8. Retaliation Is Not Tolerated

Retaliation for complaints of inappropriate conduct or harassment are also considered harassment and will not be tolerated. Retaliatory behavior in connection with ACNP meetings will be investigated in a similar manner to initial complaints.

Incident Report for Violations of the ACNP Meeting Participation Code of Conduct

Meeting participants or other individuals who witness or experience inappropriate conduct at an ACNP meeting or other event, including but not limited to the prohibited conduct described in the ACNP Meeting Participation Code of Conduct, should report such conduct immediately to the Executive Director of the ACNP, Sarah Timm at stimm@acnp.org or (615) 324-2374. Any individual reporting such conduct is not required or expected to discuss the concern with the alleged offender.

Anyone experiencing or witnessing behavior at an ACNP event that is an immediate or serious threat to the safety of those present, or to the public, is advised to locate a house phone and ask for security, or to otherwise contact the authorities for protection. Please use this form for observers or targets of prohibited conduct to help with an investigation.

ACNP will keep any investigation of an alleged violation of the ACNP Code of Conduct as confidential as possible.

General suggestions for reporting incidents:

- If possible, write everything down (times, places, nature of the incident, and comments made).
- Save emails, notes, etc.
- Be as detailed as possible.

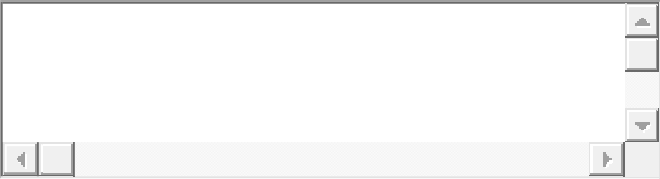
Your Name (optional):

NOTE: The ACNP will accept anonymous complaints and/or reports of harassing conduct in violation of this policy. Without the identity of the alleged victim and/or complainant, however, it could be difficult to corroborate the conduct alleged.

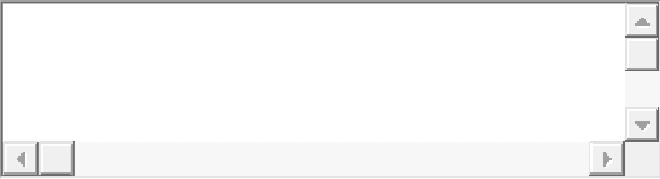
Your contact information (optional – see above):

Name of alleged offender (If you are unable to provide a name, please describe the individual's physical appearance in as much detail as possible):

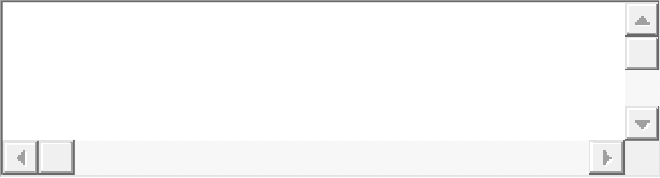
Name(s) of individual(s) who experienced or witnessed the incident:

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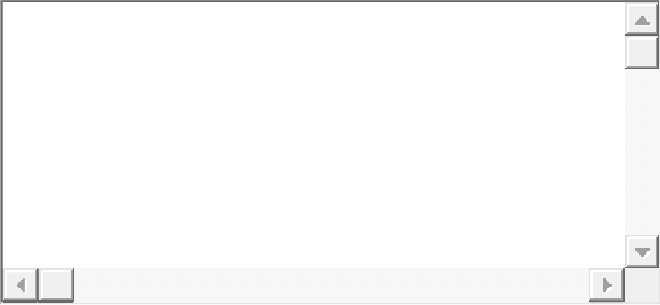
Time and Date of Incident:

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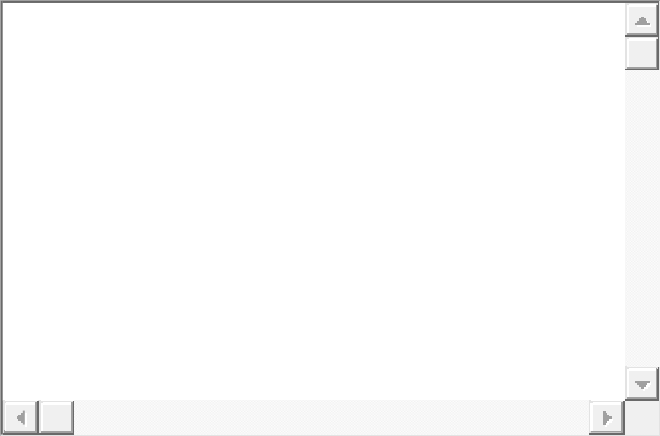
Location of incident:

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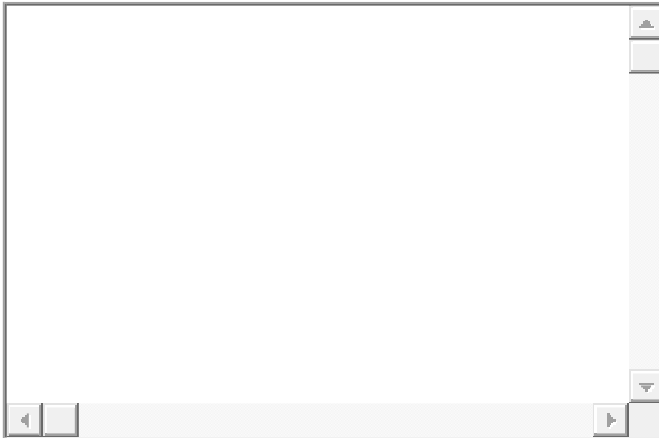
Nature of incident:

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Comments

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Additional information



ACNP will promptly and impartially investigate the facts and circumstances of any claim of inappropriate conduct or harassment in violation of the ACNP Code of Conduct. ACNP will make every effort to keep the reporting individual's concerns confidential and will not deliberately share personal information, other than as necessary to carry out the purpose of investigation. While complete confidentiality cannot be guaranteed, ACNP will keep the investigation and its findings as confidential as possible under the circumstances. ACNP can only investigate situations that arise at ACNP meetings or other ACNP-sponsored events. If a meeting participant experiences inappropriate conduct or harassment at the participant's own or another institution, at a place of work, at a research facility, or online but not via ACNP-sponsored channels that individual should contact the appropriate person or department responsible for such things at that particular institution, facility or medium.

PROCESS FOR COMPLAINTS:

Once a complaint is received by the Executive Director, the following steps are taken:

1. A summary of the complaint is compiled by the Executive Director. All names of individuals are redacted to ensure no conflict of interest.
2. The report is sent to the officers and a teleconference is held to discuss next steps.
3. The officers determine if they would like develop and send a letter to the accused without investigation or if a formal investigation is necessary.
4. If a formal investigation is necessary, the Executive Director refers the case to ACNP legal counsel to begin their investigation.
5. If a letter is issued without an investigation, that letter is still passed by our legal counsel for review and approval. Once a letter is sent, the letter is kept within the individual's file and the matter is considered closed.
6. If a formal investigation occurs, we wait until we received the report from the legal counsel. When the report is received by the ED, it is forwarded to all officers and a

teleconference is set up to discuss if they would like to handle the issue or if it needs to be referred to the Ethics Committee.

Note: We have never referred a case to the Ethics Committee as that option has been kept for cases in which a person's membership may be revoked. If the Ethics Committee reviews the case, they will review the report and have the option to further interview witnesses, the accused and the complainant. The committee would then forward a recommendation to Council. Council would then hold a hearing and determine consequences with the consult of legal counsel.